

# Amortized Complexity of Zero-Knowledge Proofs Revisited: Achieving Linear Soundness Slack

Ronald Cramer (CWI)

Ivan Damgård (AU)

Chaoping Xing (NTU)

ChenYuan (NTU)

**Eprint 2016/681**

# Integer One-Way Function (iOWF)

- maps integers to finite group  $G$
- hard to invert
- additively homomorphic

$f: \mathbb{Z} \rightarrow G$  (in paper: integer vectors to  $G$ )

$$f(x+y) = f(x)+f(y)$$

# Integer One-Way Function (iOWF)

- maps integers to finite group  $G$
- hard to invert
- additively homomorphic

$f: \mathbb{Z} \rightarrow G$  (in paper: integer vectors to  $G$ )

$$f(x+y) = f(x)+f(y)$$

## Examples:

- encryption functions for many lattice-based crypto-systems
- lattice based hash-functions
- integer commitment schemes

# Zero-Knowledge for iOWFs

Prover P claims he knows a small(short) preimage  $x$  for output value  $y = f(x)$ .

# Zero-Knowledge for iOWFs

Prover P claims he knows a small(short) preimage  $x$  for output value  $y = f(x)$ .

Useful in many contexts:

# Zero-Knowledge for iOWFs

Prover P claims he knows a small(short) preimage  $x$  for output value  $y = f(x)$ .

Useful in many contexts:

- Prove that ciphertext is well-formed, so it decrypts uniquely

# Zero-Knowledge for iOWFs

Prover P claims he knows a small(short) preimage  $x$  for output value  $y = f(x)$ .

Useful in many contexts:

- Prove that ciphertext is well-formed, so it decrypts uniquely
- Preimage of hash function is short enough, so collisions are hard to find

# Simplistic Zero-Knowledge

**x**   **P**       $y=f(x)$       **V**      **claim:  $|x| < b$**

$a = f(r)$  (“smallish”, random  $r$ )



$e$  (=0 or 1)



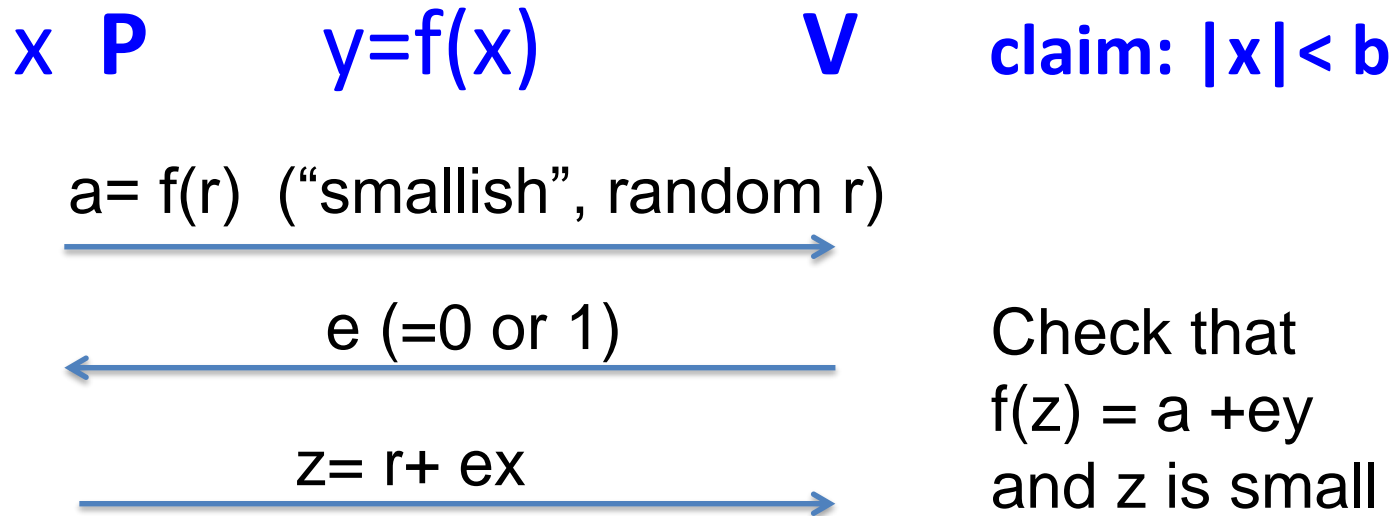
$z = r + ex$



Check that  
 $f(z) = a + ey$   
and  $z$  is small



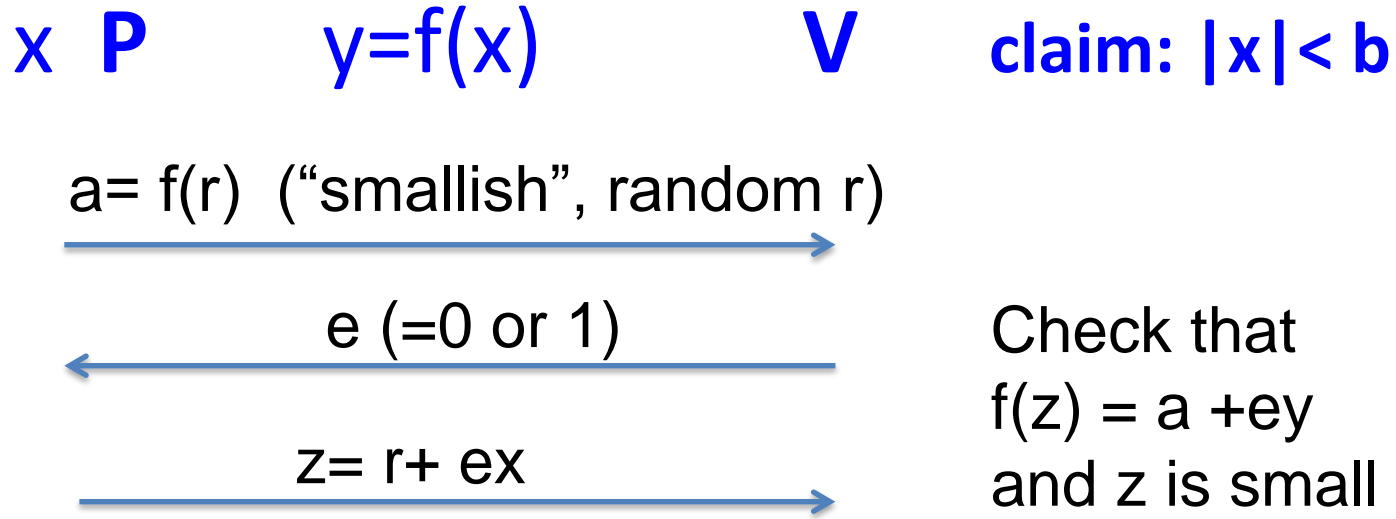
# Simplistic Zero-Knowledge



**Problems (1):** to make this be ZK, need that  $|r|$  is bigger than  $b$  by exponentially large factor, in security parameter  $k$ .

Then, preimage we can extract from cheating prover is also large: we say the **soundness slack** is  $\exp(k)$

# Simplistic Zero-Knowledge



**Problems (2):** must repeat protocol  $k$  times to get  $\exp(-k)$  error probability. Taking  $e$  from larger domain does not work.

We say the **overhead** is  $k$ .

# State of the Art and Our Results

Prove knowledge of a single preimage: we do not know how to reduce both overhead and soundness slack.

Consider instead images  $y_1, \dots, y_n$  and the *amortized* cost of proving preimage knowledge.

# State of the Art and Our Results

Prove knowledge of a single preimage: we do not know how to reduce both overhead and soundness slack.

Consider instead images  $y_1, \dots, y_n$  and the *amortized* cost of proving preimage knowledge.

[CD09]: overhead  $O(1)$ , soundness slack  $\exp(k)$

[BDLN16]: overhead  $O(1)$ , soundness slack  $O(n k^{\log(k)})$

# State of the Art and Our Results

Prove knowledge of a single preimage: we do not know how to reduce both overhead and soundness slack.

Consider instead images  $y_1, \dots, y_n$  and the *amortized* cost of proving preimage knowledge.

[CD09]: overhead  $O(1)$ , soundness slack  $\exp(k)$

[BDLN16]: overhead  $O(1)$ , soundness slack  $O(n k^{\log(k)})$

**This work:**

overhead  $O(1)$ , soundness slack  $O(k)$

# State of the Art and Our Results

Prove knowledge of a single preimage: we do not know how to reduce both overhead and soundness slack.

Consider instead images  $y_1, \dots, y_n$  and the *amortized* cost of proving preimage knowledge.

[CD09]: overhead  $O(1)$ , soundness slack  $\exp(k)$

[BDLN16]: overhead  $O(1)$ , soundness slack  $O(n k^{\log(k)})$

## **This work:**

overhead  $O(1)$ , soundness slack  $O(k)$

- Need that  $n$  is  $k^2$  constants are small, practical solution.
- Can reduce to  $k^{1.5}$  (and better in subsequent work) theoretical interest.

# The Construction

**“Imperfect Proof” borrowed from [BDLN16]:**

Cut-and-choose + Lyubashevsky’s rejection sampling.

Overhead  $O(1)$ , Soundness slack  $O(1)$

Ensures that we can extract from  $P$  a small preimage of all but  $k$  of the  $y_i$ .

Improved version in [dPL17].

# The Construction

## **“Imperfect Proof” borrowed from [BDLN16]:**

Cut-and-choose + Lyubashevsky’s rejection sampling.

Overhead  $O(1)$ , Soundness slack  $O(1)$

Ensures that we can extract from  $P$  a small preimage of all but  $k$  of the  $y_i$ .

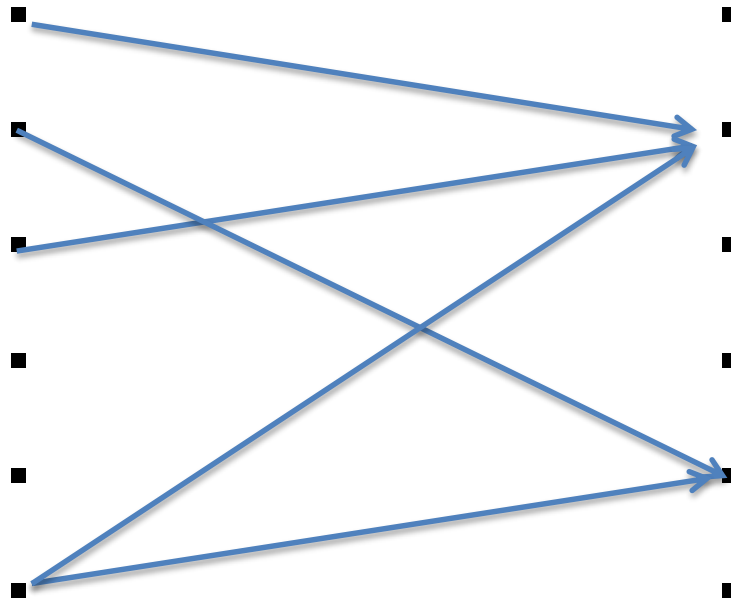
Improved version in [dPL17].

## **Main Protocol (our contribution)**

Use Imperfect proof, homomorphic property and a bipartite graph with good expansion properties to get protocol from which we can extract all preimages.

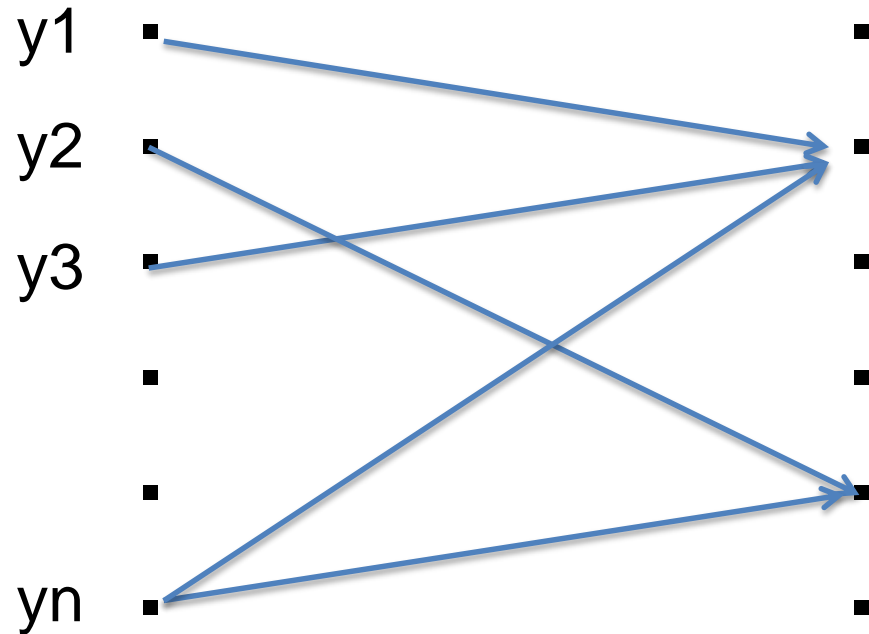


# Using a Bipartite graph



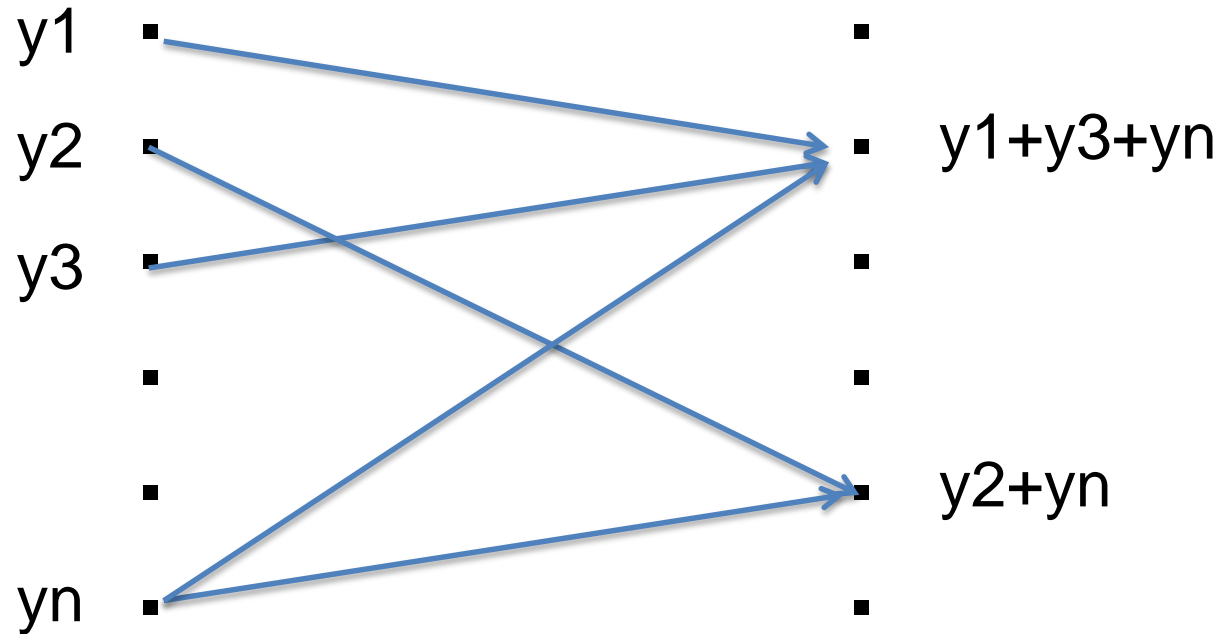
- n nodes on the left and right

# Using a Bipartite graph



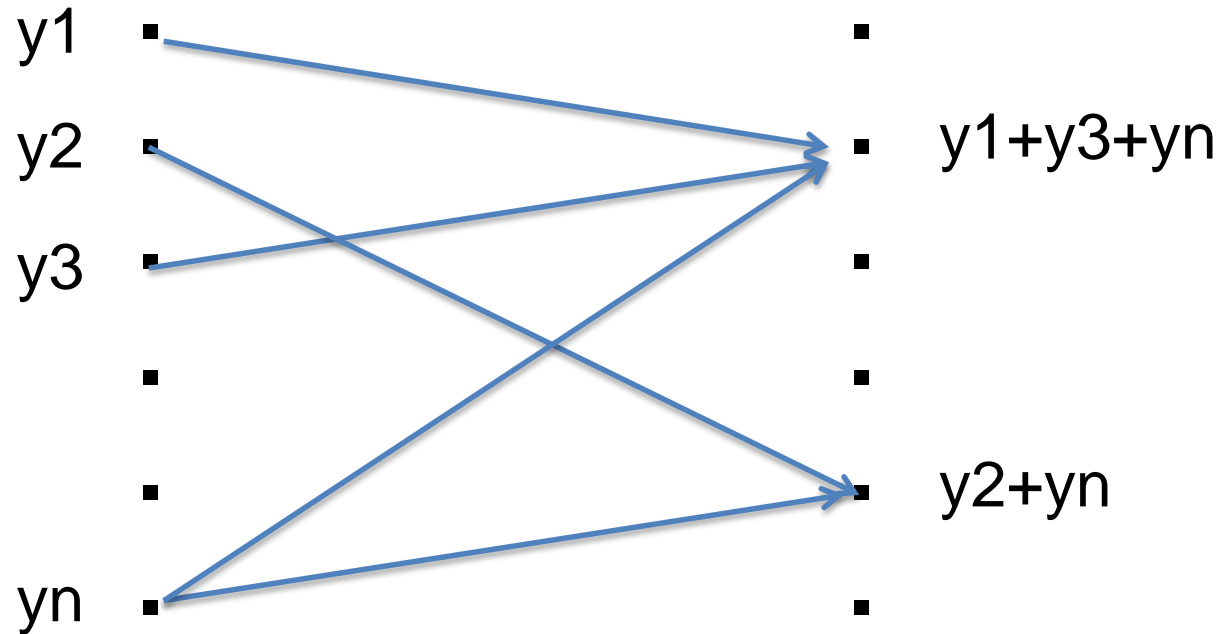
- $n$  nodes on the left and right
- Assign  $y_i$  to  $i$ 'th node on the left.

# Using a Bipartite graph



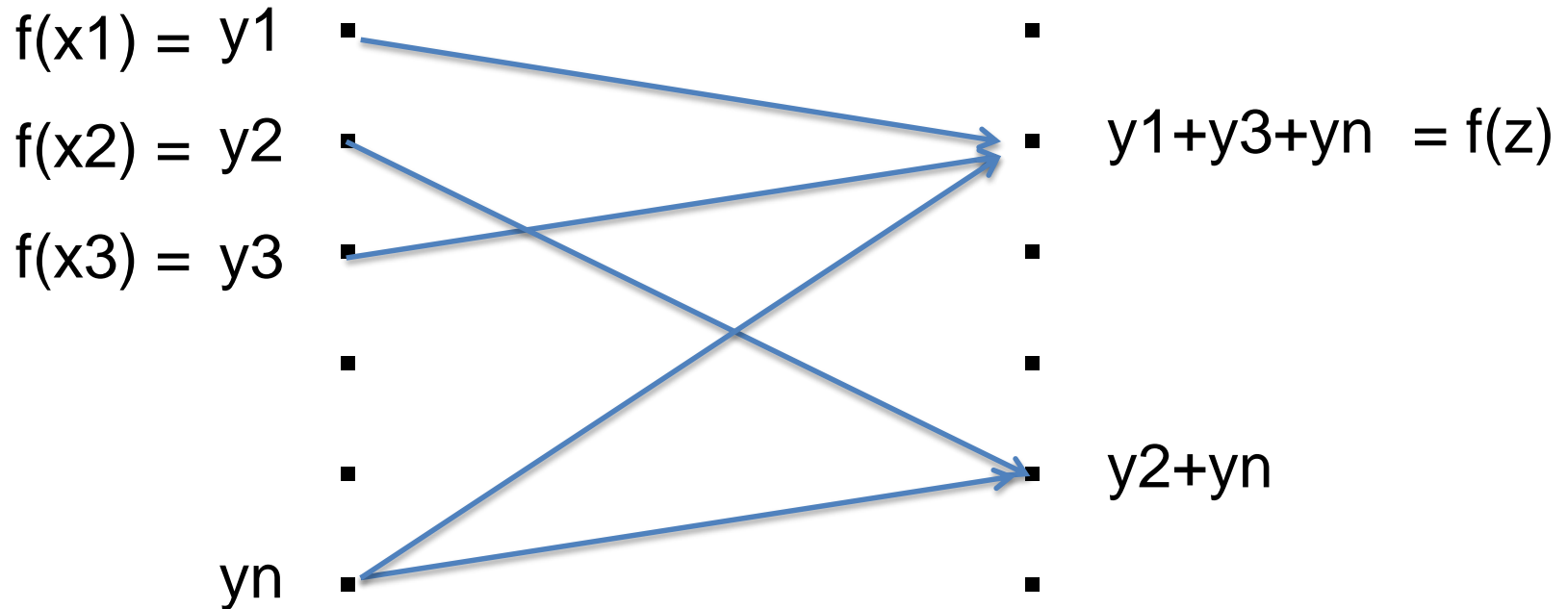
- $n$  nodes on the left and right
- Assign  $y_i$  to  $i$ 'th node on the left.
- Assign to each node on the right the sum of values from its neighbors

# Using a Bipartite graph 2



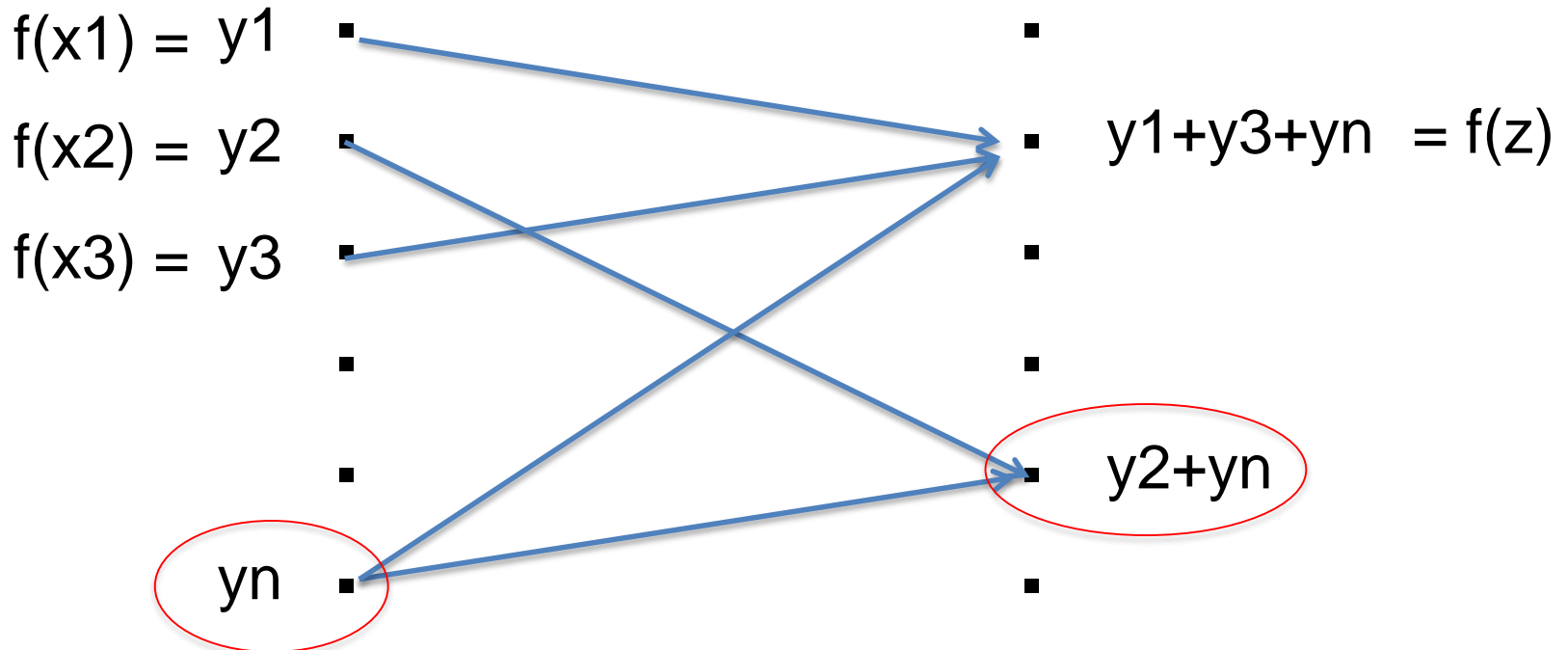
- Use Imperfect Proof on values on the left, and also on values on the right.

# Using a Bipartite graph 2



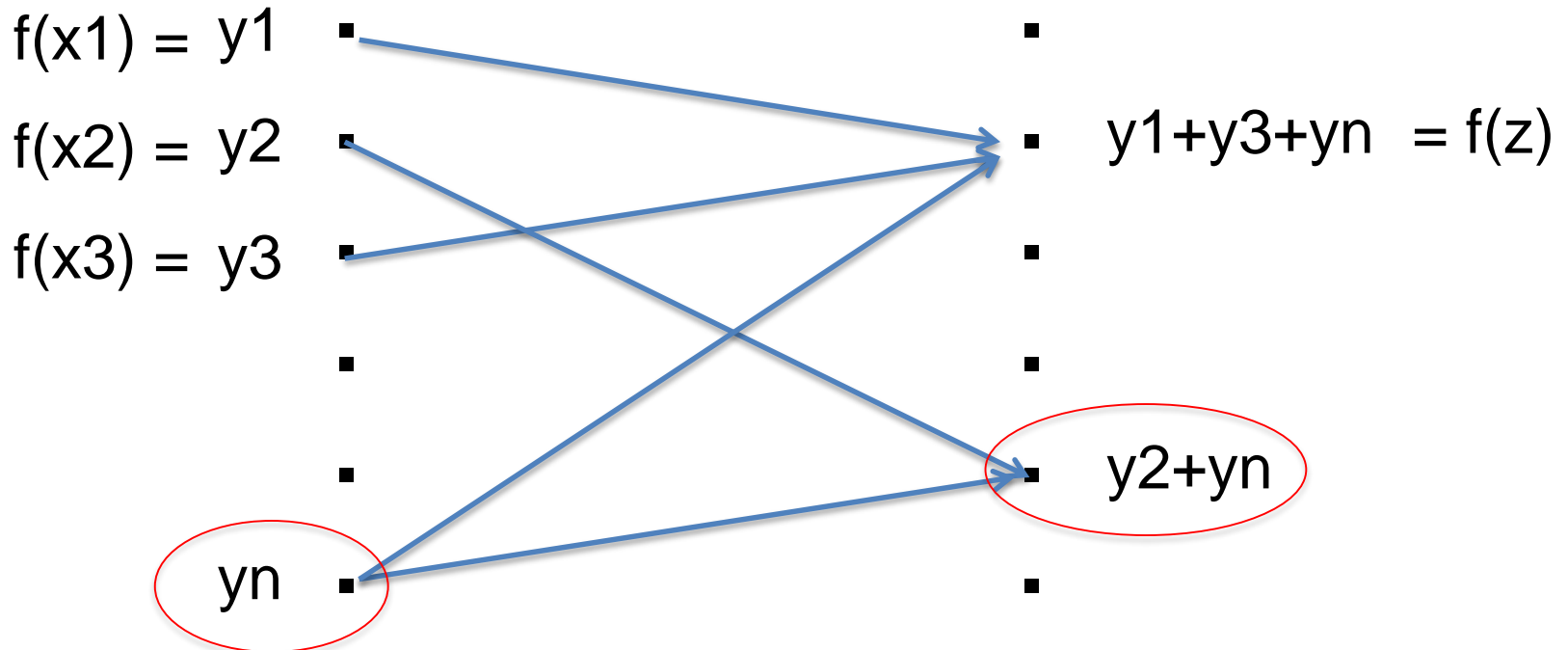
- Use Imperfect Proof on values on the left, and also on values on the right.
- We can extract from  $P$  small preimages of almost all instances.

# Using a Bipartite graph 2



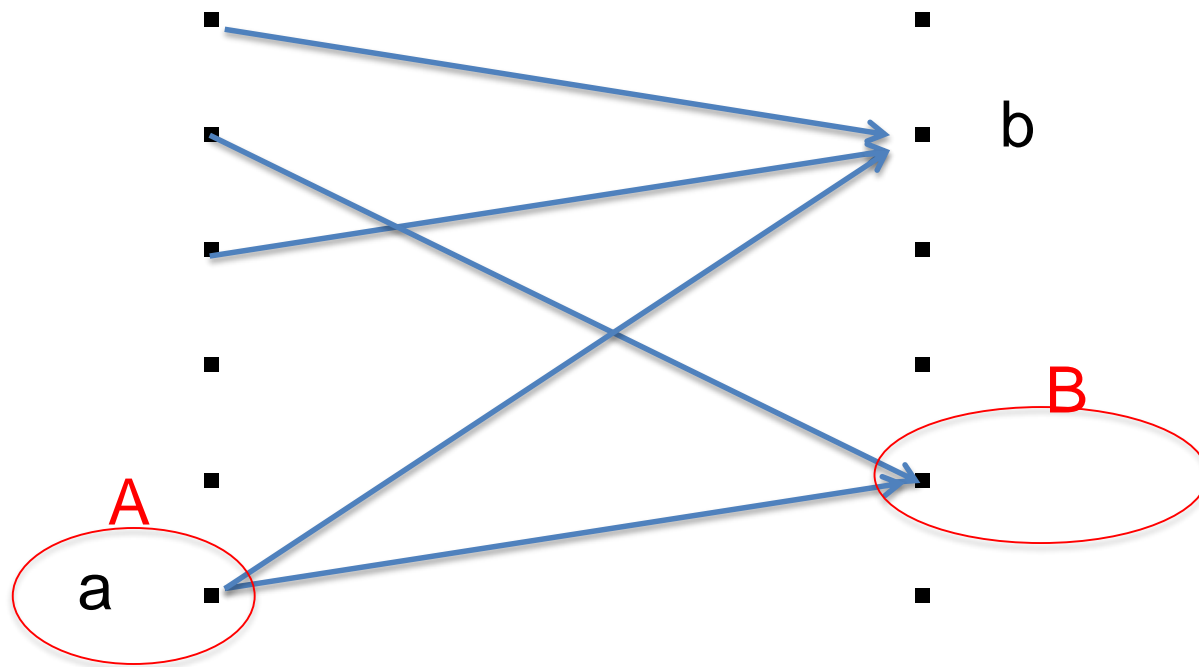
- Use Imperfect Proof on values on the left, and also on values on the right.
- We can extract from  $P$  small preimages of almost all instances.
- Say we fail on 1 instance on both sides

# Using a Bipartite graph 3



- We failed on  $y_n$ , but if we can find a place on the right where 1) we succeeded and 2)  $y_n$  is “alone”, we are good:
- $y_n = f(z) - y_1 - y_3 = f(z - x_1 - x_3)$
- If  $|z|, |x_1|, |x_3|$  are  $< b$ , then  $|z - x_1 - x_3| < 3b$

# Requirements on the graph



- **In-degree** on the right:  $O(k)$  - then soundness slack is  $O(k)$ .
- **Strong unique neighbor property**: Consider any two subsets of size  $k$ ,  $A$  on the left,  $B$  on the right. For each  $a$  in  $A$  there exists  $b$  not in  $B$  such that  $\{a\} = A \cap \text{Neighborhood}(b)$  - then extraction works.



# Construction of good graphs 1

In general, related to graphs with good expansion properties, but known results don't do what we want. We get the result we need from universal hash functions.

# Construction of good graphs 1

In general, related to graphs with good expansion properties, but known results don't do what we want. We get the result we need from universal hash functions.

Let  $p > 2k+1$  be a prime and  $F$  the field with  $p$  elements. A member in our family  $H$  is defined by  $h$  in  $F$ . We define  $h(a_0, a_1) = ha_0 + a_1$ .

# Construction of good graphs 1

In general, related to graphs with good expansion properties, but known results don't do what we want. We get the result we need from universal hash functions.

Let  $p > 2k+1$  be a prime and  $F$  the field with  $p$  elements. A member in our family  $H$  is defined by  $h$  in  $F$ . We define  $h(a_0, a_1) = ha_0 + a_1$ .

Set of nodes on the left:  $X = F \times F$

Set of nodes on the right:  $Y = H \times F$

# Construction of good graphs 1

In general, related to graphs with good expansion properties, but known results don't do what we want. We get the result we need from universal hash functions.

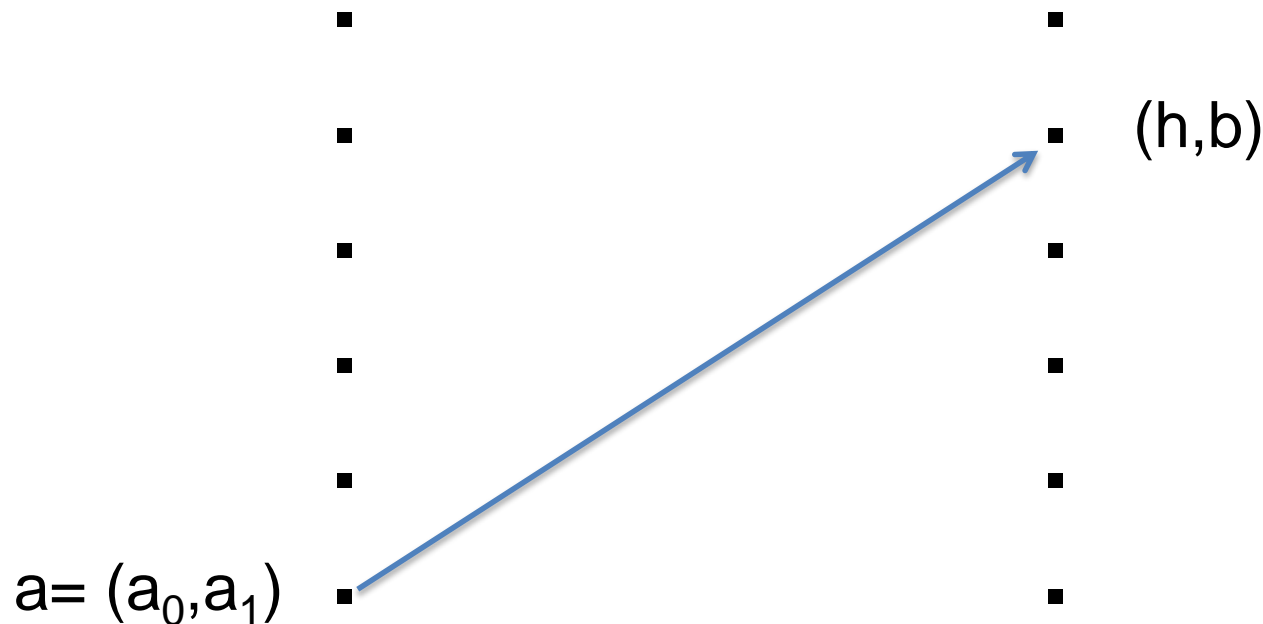
Let  $p > 2k+1$  be a prime and  $F$  the field with  $p$  elements. A member in our family  $H$  is defined by  $h$  in  $F$ . We define  $h(a_0, a_1) = ha_0 + a_1$ .

Set of nodes on the left:  $X = F \times F$

Set of nodes on the right:  $Y = H \times F$

Edge from  $(a_0, a_1)$  to  $(h, b)$  iff  $h(a_0, a_1) = b$ .

# Construction of good graphs 2



- Edge exists iff  $h(a_0, a_1) = ha_0 + a_1 = b$
- We get a good graph with  $n \leq 16k^2$  nodes on each side and in-degree  $O(k)$ .
- In-degree is clear, for strong unique neighbor property, see paper.

# Alternative Construction

..can be based on certain known graphs with good expansion properties.

We adapt previous proofs techniques to get the properties we need.

We get  $n = O(k^3)$  and strong unique neighbor property only holds in a probabilistic sense.

BUT: is still useful even when  $n \ll k^3$ : implies a protocol that reduces the number of unknown preimages significantly.

Can combine with first result to get soundness slack  $O(k)$ , overhead  $O(1)$  with  $n = O(k^{1.5})$ .

**Acknowledgement:** to Omer Reingold for an idea leading to the  $n=O(k^2)$  result.

**Acknowledgement:** to Omer Reingold for an idea leading to the  $n=O(k^2)$  result.

Thanks!