Centrum Wiskunde & Informatica



# (DuSoft

# Quantum Authentication and Encryption with Key Recycling

**Or:** How to Re-use a One-Time Pad Even if P=NP — Safely & Feasibly

Serge Fehr

**CWI** Amsterdam

Louis Salvail

University of Montréal

### **Encryption & Authentication**

- Schemes with information theoretic security
  - One-time pad:  $E_k(m) = m + k$
  - Universal hashing, e.g.:  $MAC_{A,b}(m) = Am + b$

### **Encryption & Authentication**

- Schemes with information theoretic security
  - One-time pad:  $E_k(m) = m + k$
  - Universal hashing, e.g.:  $MAC_{A,b}(m) = Am + b$
- Well-known disadvantage: key cannot be re-used
- Reason:
  - Eve can learn info on key by observing cipher
  - Even worse: such attack remains undetected

### **Encryption & Authentication**

- Schemes with information theoretic security
  - One-time pad:  $E_k(m) = m + k$
  - Universal hashing, e.g.:  $MAC_{A,b}(m) = Am + b$
- Well-known disadvantage: key cannot be re-used
- Reason:
  - Eve can learn info on key by observing cipher
  - Even worse: such attack remains undetected
- Final Formation Formation

### **General Idea**

To use a quantum ciphertext (or tag) instead so that any eavesdropping attack will disturb it

### **General Idea**

- To use a quantum ciphertext (or tag) instead so that any eavesdropping attack will disturb it
- We may hope for:
  - Encode ciphertext (or tag) c into a quantum state  $|c\rangle$
  - Check upon arrival if  $|c\rangle$  is still in "good form"
  - Conclude: no eavesdropping took place

### **General Idea**

- To use a quantum ciphertext (or tag) instead so that any eavesdropping attack will disturb it
- We may hope for:
  - Encode ciphertext (or tag) c into a quantum state  $|c\rangle$
  - Check upon arrival if  $|c\rangle$  is still in "good form"
  - Conclude: no eavesdropping took place
- Would allow for:
  - unbounded safe re-use of the key
  - as long as not under attack

General idea goes back to

#### [Bennett, Brassard & Breidbart 1982]:

- proposed a simple scheme
- gave hand-wavy arguments for its security

#### Quantum Cryptography II: How to re-use a one-time pad safely even if P=NP

Charles H. Bennett (IBM Yorktown)\* Gilles Brassard (Univ. de Montreal)<sup>†</sup>

Seth Breidbart (Box 1526, NY 10268)

November 1982

#### Abstract

When elementary quantum systems, such as polarized photons, are used to transmit digital information, the uncertainty principle gives rise to novel cryptographic phenomena unachievable with traditional transmission media, e.g. a communications channel on which it is impossible in principle to eavesdrop without a high probability of being detected. With such a channel, a one-time pad can safely be reused many times as long as no eavesdrop is detected, and, planning ahead, part of the capacity of these uncompromised transmissions can be used to send fresh random bits with which to replace the one-time

when an eavestrop finally is detected. Unlike other schemes for

General idea goes back to

[Bennett, Brassard & Breidbart 1982]:

- proposed a simple scheme
- gave hand-wavy arguments for its security

Their paper got rejected, and idea was abandoned - until...

General idea goes back to

- [Bennett, Brassard & Breidbart 1982]:
  - proposed a simple scheme
  - gave hand-wavy arguments for its security

Their paper got rejected, and idea was abandoned - until...

- [Damgård, Pedersen, Salvail 2005]:
  - proposed a new scheme with rigorous security proof
  - But: honest users need quantum computing capabilities

General idea goes back to

- [Bennett, Brassard & Breidbart 1982]:
  - proposed a simple scheme
  - gave hand-wavy arguments for its security

Their paper got rejected, and idea was abandoned - until...

- [Damgård, Pedersen, Salvail 2005]:
  - proposed a new scheme with rigorous security proof
  - But: honest users need quantum computing capabilities

Our result:

- new simple scheme, based on BB84 qubits
- rigorous security proof

Conoral idea anos back to

Related line of work:

encryption/authentication of quantum messages

Some also offer key recycling and/or other features (see e.g. Portmann's talk)

But, in all of those: honest users need quantum computer (even when restricting to classical messages)

#### vui result.

new simple scheme, based on BB84 qubits

rigorous security proof

- Allow for almost the same
- There are subtle differences

- Allow for almost the same
- There are subtle differences
- Encryption with key recycling:
  - non-interactive (up to the ``feedback")
  - only a 1-bit message is to be authenticated, offline
  - potential for better efficiency

- Allow for almost the same
- There are subtle differences
- Encryption with key recycling:
  - non-interactive (up to the ``feedback")
  - only a 1-bit message is to be authenticated, offline
  - potential for better efficiency
- QKD:
  - adaptively adjust to the noise

- Allow for almost the same
- There are subtle differences
- Encryption with key recycling:
  - non-interactive (up to the ``feedback")
  - only a 1-bit message is to be authenticated, offline
  - potential for better efficiency
- QKD:
  - adaptively adjust to the noise
- Our main motivation: intellectual interest

### **Road Map**

#### Se Introduction

- The basic scheme and its analysis
- Extensions and open problem(s)

















#### **Claims (informal)**

Offers authentication security



#### **Claims (informal)**

Offers authentication security o





#### **Claims (informal)**

- Offers authentication security
- If Bob accepts then key  $(\theta, k)$  can be safely re-used



#### **Claims (informal)**

- Offers authentication security
- If Bob accepts then key  $(\theta, k)$  can be safely re-used
- If Bob rejects then  $\theta$  (only) must be refreshed



If Eve gets to see authentication tags

 $t_i = MAC_k(m_i) = Am_i + b$ 

for **known** messages  $m_1, m_2,...$  and a fixed key k = (A,b), and so accumulates (linear) info on k and can solve for it.



If Eve gets to see authentication tags

 $t_i = MAC_k(m_i) = Am_i + b$ 

for **known** messages  $m_1, m_2,...$  and a fixed key k = (A, b), and so accumulates (linear) info on k and can solve for it.

But here: authenticated message  $m \| x$  is partly unknown, since  $H^{\theta} | x \rangle$  hides x (to some extent) when  $\theta$  is unknown.

An "Attack"  $\theta, k$  $heta, \kappa$ m $x \leftarrow \{0,1\}^n$  $H^{ heta_1}|x_1
angle\otimes H^{ heta_2}|x_2
angle\otimes\cdots$ , t recover xcheck t





#### **Effect:**

- If  $\theta_1 = 0$  then
- she learns  $x_1$ ,
- $H^{ heta_1}|x_1
  angle$  is unaffected
- Bob accepts



#### **Effect:**

- If  $\theta_1 = 0$  then
- she learns  $x_1$ ,
- $H^{ heta_1}|x_1
  angle$  is unaffected
- Bob accepts

If  $\theta_1 = 1$  then

- she does not learn  $x_1$ ,
- $H^{ heta_1}|x_1
  angle$  gets disturbed
- Bob rejects with prob.  $\approx 1/2$

#### **Effect:**

- If  $\theta_1 = 0$  then
- she learns  $x_1$ ,
- $H^{ heta_1}|x_1
  angle$  is unaffected
- Bob accepts



- she does not learn  $x_1$ ,
- $H^{\theta_1}|x_1\rangle$  gets disturbed
- Bob rejects with prob.  $\approx 1/2$



#### **Effect:**

- If  $\theta_1 = 0$  then
  - she learns  $x_1$ ,
- $H^{\theta_1}|x_1
  angle$  is unaffected
- Bob accepts

If  $\theta_1 = 1$  then

- she does not learn  $x_1$ ,
- $H^{\theta_1}|x_1\rangle$  gets disturbed
- Bob rejects with prob.  $\approx 1/2$

### **Eve's conclusion:**

### If Bob rejects then $\theta_1 = 1$ (but now $\theta$ gets refreshed!)

#### **Effect:**

- If  $\theta_1 = 0$  then
  - she learns  $x_1$ ,
  - $H^{ heta_1}|x_1
    angle$  is unaffected
- Bob accepts

If  $\theta_1 = 1$  then

- she does not learn  $x_1$ ,
- $H^{\theta_1}|x_1\rangle$  gets disturbed
- Bob rejects with prob.  $\approx 1/2$

#### **Eve's conclusion:**

If Bob rejects then  $\theta_1 = 1$  (but now  $\theta$  gets refreshed!) If Bob accepts:  $\theta_1$  is likely to be 0 ( $\Rightarrow$  Eve learned info on  $\theta$ )

#### Effect:

- If  $\theta_1 = 0$  then
  - she learns  $x_1$ ,
  - $H^{ heta_1}|x_1
    angle$  is unaffected
- Bob accepts

If  $\theta_1 = 1$  then

- she does not learn  $x_1$ ,
- $H^{\theta_1}|x_1\rangle$  gets disturbed
- Bob rejects with prob.  $\approx 1/2$

#### **Eve's conclusion:**

If Bob rejects then  $\theta_1 = 1$  (but now  $\theta$  gets refreshed!) If Bob accepts:  $\theta_1$  is likely to be 0 ( $\Rightarrow$  Eve learned info on  $\theta$ )

#### No need to worry:

The more info she tries to learn the more likely she fails

## **Insight Gained**

**Cannot** expect to prove:

"If Bob accepts then key remains (close to) random"

### **Insight Gained**

- Cannot expect to prove:
  "If Bob accepts then key remains (close to) random"
- But then:
  - may not be necessary for the key to stay random
  - high uncertainty might be sufficient

### **Formal Statement - Informally Stated**

Theorem. If before the execution:

Guess $(\theta | Eve's view) \approx 0$  &  $\delta(k, unif | \theta, Eve's view) \approx 0$ 

### **Formal Statement - Informally Stated**

Theorem. If before the execution:

Guess $(\theta | Eve's view) \approx 0$  &  $\delta(k, unif | \theta, Eve's view) \approx 0$ 

then after the execution:

Guess $(\theta' | Eve's view) \approx 0$  &  $\delta(k, unif | \theta', Eve's view) \approx 0$ 

where  $\theta' := \theta$  if Bob accepted and freshly chosen otherwise.



### **Formal Statement - Informally Stated**

Theorem. If before the execution:

Guess $(\theta | Eve's view) \approx 0$  &  $\delta(k, unif | \theta, Eve's view) \approx 0$ 

then after the execution:

Guess $(\theta' | Eve's view) \approx 0$  &  $\delta(k, unif | \theta', Eve's view) \approx 0$ 

where  $\theta' := \theta$  if Bob accepted and freshly chosen otherwise.

Thus: starting off with a, say, uniformly random key  $(\theta, k)$ , the (possibly refreshed) key can be re-used over and over.

Note: Eve's view E' after the execution consists of

- her old view E
- the tag  $t = A\left[\frac{m}{x}\right] + b$
- whatever she kept of  $H^{\theta}|x\rangle$ , call it Q
- Bob's decision, call it d

Note: Eve's view E' after the execution consists of

- her old view E
- the tag  $t = A\left[\frac{m}{x}\right] + b$
- whatever she kept of  $H^{\theta}|x\rangle$ , call it Q
- Bob's decision, call it d

Thus:

 $\operatorname{Guess}(\theta'|E') = \operatorname{Guess}(\theta'|E, Q, t, d)$ 

Note: Eve's view E' after the execution consists of

- her old view E
- the tag  $t = A\left[\frac{m}{x}\right] + b$
- whatever she kept of  $H^{\theta}|x\rangle$ , call it Q
- Bob's decision, call it d

#### Thus:

 $\operatorname{Guess}(\theta'|E') = \operatorname{Guess}(\theta'|E, Q, t, d)$ 

 $= \mathbf{P}[d=0]\operatorname{Guess}(\theta'|E,Q,t,d=0) + \mathbf{P}[d=1]\operatorname{Guess}(\theta'|E,Q,t,d=1)$ 

Note: Eve's view E' after the execution consists of

- her old view E
- the tag  $t = A\left[\frac{m}{x}\right] + b$
- whatever she kept of  $H^{\theta}|x\rangle$ , call it Q
- Bob's decision, call it d

#### Thus:

 $\begin{aligned} \operatorname{Guess}(\theta'|E') &= \operatorname{Guess}(\theta'|E,Q,t,d) \\ & \swarrow \\ & = \operatorname{P}[d=0]\operatorname{Guess}(\theta'|E,Q,t,d=0) + \operatorname{P}[d=1]\operatorname{Guess}(\theta'|E,Q,t,d=1) \end{aligned}$ 

Note: Eve's view E' after the execution consists of

- her old view E
- the tag  $t = A\left[\frac{m}{x}\right] + b$
- whatever she kept of  $H^{\theta}|x\rangle$ , call it Q
- Bob's decision, call it d

#### Thus:

 $\begin{aligned} \operatorname{Guess}(\theta'|E') &= \operatorname{Guess}(\theta'|E,Q,t,d) \\ &= \operatorname{P}[d=0]\operatorname{Guess}(\theta'|E,Q,t,d=0) + \operatorname{P}[d=1]\operatorname{Guess}(\theta'|E,Q,t,d=1) \\ &\leq \frac{1}{\#\theta} + \operatorname{Guess}(\theta|E,Q,t) \end{aligned}$ 

Note: Eve's view E' after the execution consists of

- her old view E
- the tag  $t = A\left[\frac{m}{x}\right] + b$
- whatever she kept of  $H^{\theta}|x\rangle$ , call it Q
- Bob's decision, call it d

#### Thus:

 $\begin{aligned} \operatorname{Guess}(\theta'|E') &= \operatorname{Guess}(\theta'|E,Q,t,d) \\ &= \operatorname{P}[d=0]\operatorname{Guess}(\theta'|E,Q,t,d=0) + \operatorname{P}[d=1]\operatorname{Guess}(\theta'|E,Q,t,d=1) \\ &\leq \frac{1}{\#\theta} + \operatorname{Guess}(\theta|E,Q,t) \end{aligned}$ 

Note: Eve's view E' after the execution consists of

- her old view E
- the tag  $t = A\left[\frac{m}{x}\right] + b$
- whatever she kept of  $H^{\theta}|x\rangle$ , call it Q
- Bob's decision, call it d

#### Thus:

 $\begin{aligned} \operatorname{Guess}(\theta'|E') &= \operatorname{Guess}(\theta'|E,Q,t,d) \\ &= \operatorname{P}[d=0]\operatorname{Guess}(\theta'|E,Q,t,d=0) + \operatorname{P}[d=1]\operatorname{Guess}(\theta'|E,Q,t,d=1) \\ &\leq \frac{1}{\#\theta} + \operatorname{Guess}(\theta|E,Q,f) \\ &\qquad H^{\theta}|x \rangle \end{aligned}$ 

Note: Eve's view E' after the execution consists of

- her old view E
- the tag  $t = A\left[\frac{m}{x}\right] + b$
- whatever she kept of  $H^{\theta}|x\rangle$ , call it Q
- Bob's decision, call it d

#### Thus:

Note: Eve's view E' after the execution consists of

- her old view E
- the tag  $t = A\left[\frac{m}{x}\right] + b$
- whatever she kept of  $H^{\theta}|x\rangle$ , call it Q
- Bob's decision, call it d

#### Thus:

 $\begin{aligned} \operatorname{Guess}(\theta'|E') &= \operatorname{Guess}(\theta'|E,Q,t,d) \\ &= \operatorname{P}[d=0]\operatorname{Guess}(\theta'|E,Q,t,d=0) + \operatorname{P}[d=1]\operatorname{Guess}(\theta'|E,Q,t,d=1) \\ &\leq \frac{1}{\#\theta} + \operatorname{Guess}(\theta|E,Q,t) \approx 0 \\ &\xrightarrow{H^{\theta}|x} \end{aligned}$ 

Note: Eve's view E' after the execution consists of • her old view E

Proving  $\delta(k, unif | \theta', E') \approx 0$  is more involved. Builds up on techniques from [Tomamichel, Fehr, Kaniewski, Wehner '13].

#### Thus:

 $\begin{aligned} \operatorname{Guess}(\theta'|E') &= \operatorname{Guess}(\theta'|E,Q,t,d) \\ &= \operatorname{P}[d=0]\operatorname{Guess}(\theta'|E,Q,t,d=0) + \operatorname{P}[d=1]\operatorname{Guess}(\theta'|E,Q,t,d=1) \\ &\leq \frac{1}{\#\theta} + \operatorname{Guess}(\theta|E,Q,t) \approx 0 \\ &\xrightarrow{H^{\theta}|X^{\star}} \end{aligned}$ 

## **Road Map**

- Se Introduction
- The basic scheme and its analysis
- Extensions and open problem(s)

### **Extensions**

#### Encryption with key-recycling

- Idea: extract randomness from x for one-time-pad key
- Can mix-and-match with authentication

### **Extensions**

#### Encryption with key-recycling

- Idea: extract randomness from x for one-time-pad key
- Can mix-and-match with authentication
- Tolerate noise in the quantum communication
  - Straightforward error-correction does not work
  - Error-correction "without leaking partial info" by Dodis and Smith comes to the rescue

### **The Trouble with Error Correction**

Obvious "solution":

send along the syndrome s = syn(x) of x

### **The Trouble with Error Correction**

Obvious "solution": send along the syndrome s = syn(x) of x

The problem: in the analysis

 $Guess(\theta'|E') = Guess(\theta'|E, Q, t, s, d)$ 

 $\leq \frac{1}{\#\theta} + \operatorname{Guess}(\theta | E, \mathbf{0}, \mathbf{1}, \mathbf{s}) \\ H^{\theta} | x \rangle$ 

### **The Trouble with Error Correction**

Obvious "solution": send along the syndrome s = syn(x) of x

The problem: in the analysis

 $Guess(\theta'|E') = Guess(\theta'|E, Q, t, s, d)$ 

 $Guess(\theta | E, Q, \#, s)$   $H^{\theta} | r \rangle$  $\leq \frac{1}{\#\theta}$ 

We still expect this to be small, but cannot prove it

# Conclusion

What we did:

- Considered one of the very first ideas for quantum crypto (suggested >30 ago, even before QKD)
- First provably-secure solution w/o quantum computer

# Conclusion

What we did:

- Considered one of the very first ideas for quantum crypto (suggested >30 ago, even before QKD)
- First provably-secure solution w/o quantum computer

Open problems / future directions:

- To do the error correction in a better way (Dodis-Smith technique works only for small error)
- Minimize amount of quantum communication

# Conclusion

What we did:

- Considered one of the very first ideas for quantum crypto (suggested >30 ago, even before QKD)
- First provably-secure solution w/o quantum computer

Open problems / future directions:

- To do the error correction in a better way (Dodis-Smith technique works only for small error)
- Minimize amount of quantum communication

### Thank you!